

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>		Attorney Docket No.: S-97,819	
		First Inventor or Application Identifier: Quanxi Jia	
		Title: METHOD FOR PREPARATION OF SEMICONDUCTIVE FILMS	
		Express Mail Label No.: ET461826042US	
APPLICATION ELEMENTS		ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	
1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g. PTO/SB/17) <i>(submit an original and a duplicate for fee processing)</i>  2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.  3. <input checked="" type="checkbox"/> Specification [Total Pages: 20] <input checked="" type="checkbox"/> Descriptive title of the invention <input type="checkbox"/> Cross References to Related Applications <input checked="" type="checkbox"/> Statement Regarding Fed sponsored R&D <input type="checkbox"/> Reference to sequence listing, a table or a computer program listing appendix <input checked="" type="checkbox"/> Background of the invention <input checked="" type="checkbox"/> Brief Description of the Drawings (if filed) <input checked="" type="checkbox"/> Detailed Description <input checked="" type="checkbox"/> Claim(s) <input checked="" type="checkbox"/> Abstract of the Disclosure  4. <input checked="" type="checkbox"/> Drawings(s) (35 U.S.C. 113) [Total Sheets: 5] <input type="checkbox"/> Formal <input checked="" type="checkbox"/> Informal  5. <input type="checkbox"/> Declaration & Power of Attorney [Total Pages: ] a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 63(d)) <i>(for continuation/divisional with Box 16 completed)</i> c. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33 (b).		6. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  7. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Copy b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies): or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statement verifying identity of above copies  <div style="text-align: center; border: 1px solid black; padding: 2px;"> <b>ACCOMPANYING APPLICATION PARTS</b> </div> 8. <input type="checkbox"/> Assignment Papers (cover sheet & documentation) 9. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of (when there is an assignee) Attorney 10. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations 11. <input type="checkbox"/> Preliminary Amendment 12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(should be specifically itemized)</i> 13. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 14. <input type="checkbox"/> Nonpublication Request and Certification Under 35 U.S.C. 122(b)(2)(b)(i) 15. <input type="checkbox"/> Other:	
16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application S.N. _____ / _____.  Prior application information: Examiner: _____ Group/Art Unit: _____  <small>For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</small>			
16. CORRESPONDENCE ADDRESS			
<input type="checkbox"/> Customer Number or Bar Code Label OR <input type="checkbox"/> Correspondence Address Below  <div style="text-align: center; border: 1px solid black; padding: 5px; min-height: 40px;">         (Insert Customer No. or Attach Bar Code Label here)       </div>			
Name: Bruce H. Cottrell Address: Los Alamos National Laboratory LC/IP, MS A187 City: Los Alamos State: New Mexico Zip Code: 87545 Country: Unit d Stat s Telephone: (505) 667-9168 Fax: (505) 665-4424			
Name (Print/Type): Bruce H. Cottrell		Registration No. (Attorney/Agent): 30,620	
Signature: <i>Bruce H. Cottrell</i>		Date: July 8, 2003	

19589 U.S. PTO

10/615645

07/08/03

# FEE TRANSMITTAL

## For FY 2003

Patent fees are subject to annual revision  
(submit an original and a duplicate for fee processing)

### Complete if Known

Application Number:	
Filing Date:	
First Named Inventor:	Quanxi Jia
Examiner Name:	
Group/Art Unit:	
Attorney Docket No.:	S-97,819

### METHOD OF PAYMENT

1. ☒ The commissioner is hereby authorized to charge indicated fees and credit any over payments to:  
 Deposit Account Number: **12-2150**  
 Deposit Account Name: Los Alamos National Laboratory
- ☒ Charge Any Additional Fee Required Under 37 C.F.R. 1.16 and 1.17
- ☒ Applicant claims small entity status.  
 See 37 CFR 1.27

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity Fee	Small Entity Fee	Fee Description	Fee Paid
\$750	\$375	Utility filing fee	375
\$750	\$375	Reissue filing fee	
\$160	\$80	Provisional filing fee	

**SUBTOTAL (1) \$375.00**

#### 2. EXTRA CLAIM FEES

	Large Entity	Small Entity	Extra Claims	Fee from Below	Fee Paid
Total Claims	20	-20** =	0	X 0 =	0
Independent Claims	2	-3** =	0	X 0 =	0
Multiple Dependent					=

\*\* or number previously paid, if greater; For Reissues, see below

Large Entity Fee	Small Entity Fee	Fee Description
\$18	\$9	Claims in excess of 20
\$84	\$42	Independent claims in excess of 3
\$280	\$140	Multiple dependent claim, if not paid.
\$84	\$42	** Reissue independent claims over original patent
\$18	\$9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) \$0**

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity Fee	Small Entity Fee	Fee Description	Fee Paid
\$130	\$65	Surcharge - late filing fee or oath	
\$50	\$25	Surcharge - late provisional filing fee or cover sheet	
\$2,520	\$2,520	For filing a request for reexamination	
\$110	\$55	Extension for reply within first month	
\$410	\$205	Extension for reply within second month	
\$930	\$465	Extension for reply within third month	
\$1,450	\$725	Extension for reply within fourth month	
\$1,970	\$985	Extension for reply within fifth month	
\$320	\$160	Notice of Appeal	
\$320	\$160	Filing a brief in support of an appeal	
\$280	\$140	Request for oral hearing	
\$110	\$55	Petition to revive - unavoidable	
\$110	\$55	Terminal Disclaimer	
\$1,300	\$650	Petition to revive - unintentional	
\$130	\$130	Petitions to the Commissioner	
\$50	\$50	Petitions related to provisional applications	
\$180	\$180	Submission of Information Disclosure Statement	
\$750	\$375	Filing a submission after final rejection (37 CFR 1.129 (a))	
\$750	\$375	For each additional invention to be examined (37 CFR 1.129(b))	
\$100	\$100	Certificate of Correction	
\$300	\$300	Publication fee for early, voluntary, or normal publication	
\$750	\$375	Request for Continued Examination (RCE)	

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$0**

Reduced by Basic Filing Fee Paid

**SUBTOTAL FROM 1 \$375**

**SUBTOTAL FROM 2 \$0**

**SUBTOTAL FROM 3 \$0**

**TOTAL AMOUNT OF PAYMENT \$375**

### SUBMITTED BY

### Complete (if applicable)

Printed Name:	Bruce H. Cottrell	Reg. No.	30,620
Signature:		Date: July 8, 2003	Telephone: (505)667-9168